

A

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.: 12569-07

5. ☐ **Microfiche Computer Program** (*Appendix*)

6. ☐ **Nucleotide and/or Amino Acid Sequence Submission** (*if applicable, all necessary*)

- ☐ Computer Readable Copy
- ☐ Paper Copy (identical to computer copy)
- ☐ Statement verifying identity of above copies

7. **ALSO ENCLOSED ARE**

- ☐ Preliminary Amendment
- ☐ Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers
- ☐ 37 CFR 3.73(b) Statement (where there is an Assignee)
- ☐ This application is owned by pursuant to an Assignment recorded at Reel __, Frame __
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ **Request and Certification** under 35 U.S.C. 122(b)(2)(B)(i) form PTO/SB/35 or its equivalent is attached.
- ☐ Other
- ☒ **The Commissioner is hereby authorized to treat any concurrent or future reply, requiring a petition for an extension of time under 37 CFR § 1.136 for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.**

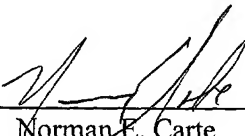
8. **CORRESPONDENCE ADDRESS**

Please address all correspondence to **STRADLING YOCCA CARLSON & RAUTH, IP Department,**
P.O. Box 7680, 660 Newport Center Drive, Suite 1600, Newport Beach, California 92660-6441.

Respectfully submitted,

STRADLING YOCCA CARLSON & RAUTH

By



Norman E. Carte
Reg. No. 30,455
(949) 737-4703

**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**

DATE: November 30, 2001

Docket No. : 12569-0007/NEC
Inventor(s) : Bin Zhao
Title : TEMPERATURE COMPENSATING REFLECTIVE RESONATOR

FEE CALCULATIONS					
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
A	TOTAL CLAIMS	18 - 20 = <2>	0	0 x \$9.00	\$0.00
B	INDEPENDENT CLAIMS	7 - 3 = <4>	0	4 x \$42.00	\$168.00
C	SUBTOTAL			SMALL ENTITY FEE = A + B LARGE ENTITY FEE = 2 X (A + B)	\$168.00
D	BASIC FEE			SMALL ENTITY FEE = \$370.00	\$370.00
				LARGE ENTITY FEE = \$740.00	
E	MULTIPLE-DEPENDENT CLAIMS FEE			SMALL ENTITY FEE = \$140.00	
				LARGE ENTITY FEE = \$280.00	
F	TOTAL FILING FEE (ADD LINES C, D, AND E)				\$538.00
List Independent Claims: 1, 4, 5, 6, 7, 17 and 18					

METHOD OF PAYMENT

☒ Payment Enclosed: Check for \$538.00


The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 501329. Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**

☐ No payment is enclosed.

☒ The Commissioner is hereby authorized to treat any concurrent or future reply, requiring a petition for an extension of time under 37 CFR § 1.136 for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.

Respectfully submitted,

STRADLING YOCCA CARLSON & RAUTH

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Reg. No. 30,455